

**AFRICAN UNION**  
**الاتحاد الأفريقي**



**UNION AFRICAINE**  
**UNIÃO AFRICANA**

---

Addis Ababa, Ethiopia

P. O. Box 3243

Telephone: 5517 700

Fax: 5517844

Website: [www.au.int](http://www.au.int)

---

**EXECUTIVE COUNCIL**  
**Fortieth Ordinary Session**  
**20 January - 03 February 2022**  
**Addis Ababa, Ethiopia**

**EX.CL/1321(XL)Rev.1**  
Original : English

**PROGRESS REPORT ON THE STATUS OF IMPLEMENTATION  
OF PREVIOUS DECISIONS OF THE EXECUTIVE COUNCIL  
AND ASSEMBLY (2011-2021)**

## 1. INTRODUCTION

1. This Report presents the status of implementation of the decisions of the Executive Council and the Assembly taken over the last ten years (2011-2021). The report is made towards the implementation of the Executive Council Decision Doc.EX.CL/929 (XXVIII) paragraph (v), where the Commission was requested, in collaboration with the PRC, to ensure the adoption of necessary measures to strengthen and effective monitoring and evaluation mechanisms for the implementation of Policy Organs Decisions through the improvement of a follow up matrix. Additionally, paragraph 6 of the Executive Council Decision **EX.CL/Dec.1127 (XXXIX)** requested the Commission, working in close collaboration with the PRC, to establish a Joint Task Force comprising of Member States at Expert level and the Commission, to take stock of all decisions taken in the last decade and devise innovative ways of improving on the follow up on the implementation of Policy Organs decisions and report back to PRC. The recommendations will be based on lessons learnt with proposals for improving, not only the formulation and quantum, but the quality of implementation of AU Policy Organs Decisions.

2. Pursuant to the above Executive Council Decisions, the PRC, in its 42<sup>nd</sup> Ordinary Session, requested the Office of Strategic Planning and Delivery to prepare the report on the implementation of AU Policy Organs Decisions for presentation to the PRC through the Senior Leadership of the Commission. The PRC further requested the Office of Strategic Planning and Delivery to include in their report a proposal on the review of the format of the report, on expediting the implementation of decisions, elaborate a monitoring mechanism and ensure that the annual report is categorized according to different themes, inter alia, prior to its consideration by the Joint Task Force as well as the PRC, respectively. Consequently, it can be deduced that the format of the report (on the implementation of policy organs decisions) should be designed and structured from a data based, results based, monitoring, evaluation and reporting point of view. Much of the reporting, analysis, conclusions and recommendations will be around the performance framework (Strategic Objectives, Indicators, baselines, targets etc.).

## 2. PROGRESS MADE IN IMPLEMENTING THE EXECUTIVE COUNCIL AND PRC DECISION

3. To that end, the Office of Strategic Planning and Delivery has since developed and finalized a 'Draft Proposal on Monitoring, Evaluation and Reporting on the Implementation of AU Policy Organs Made in the Last 10 years'. Furthermore, the Draft Concept Note on the Joint PRC/Commission Retreat has been updated to align with the objectives outlined in the draft proposal as referenced. The two documents have since been submitted to the Office of the Secretary General to the Commission for onward submission for consideration at the planned Joint PRC/Commission Retreat.

4. The PRC guidance has been to organize the Joint PRC/Commission Retreat in March, back to back to the Retreat of the PRC and African Court of People and Human Rights.

### 3. PROPOSED METHODOLOGY FOR THE EVALUATION

5. The Process and methods proposed are guided by the various Executive Council Decisions and PRC conclusions and recommendations. As a result, the proposed processes and methods will follow principles of Results Based Management, Results Based Monitoring, Evaluation and Reporting using professional data based standards, procedures and tools. Existing similar processes, tools, standards and procedures will be adapted and used in order to not recreate the wheel.

6. The main starting and most critical step in this assignment will be to understand the policy organs decisions in order to monitor, evaluate and report on them. Unless these policy organs decisions made over a period of ten years are all accounted for and well understood in themselves and the various 'meta data' behind them, their monitoring, evaluation and reporting on their implementation will have no solid basis point.

7. Following a request from the Office of Strategic Planning and Delivery, the Office of the Secretary to the Commission has provided a raw list of most of AU policy organs decisions made during the last 10years. However, there are some decisions that are yet to be provided by the Office of the Secretary to the Commission mainly due to their bulkiness hence the need to repackage them to ensure that they will be transmitted in a user-friendly manner. Tables 1 and 2 In Annex reveal that for the past ten years we count a total of 585 decisions, of which 335 Assembly decisions and 250 Executive Council decisions. It is worth mentioning that the number of Assembly decision so far does not include the decisions for the years 2014, 201, 2016 and 2017.

8. For purposes of this process of monitoring, evaluation and reporting, professional and standard tools and methodologies such as results based monitoring and evaluation systems, evaluation designs, evaluation questions, data collection, data analysis, data interpretation and data visualization. will be used.

9. The penultimate part (Part Four) of this proposal outlines one of the deliverables of this proposal i.e. an improved structure of the Report on Monitoring and Evaluation of the Implementation of Policy Organs Decisions. The last part of this proposal highlights some key preconditions which include allowing for adequate timelines, provision of adequate monitoring and evaluation expertise and and provision of a clear budget line for this task with effect from 2023The Draft Proposal will be submitted for consideration at the Joint PRC/Commission Retreat in March, back to back to the Retreat of the PRC and African Court of People and Human Rights planned for the first week of March 2022. This retreat will be preceded by a mini retreat to be held in Addis Ababa that will review the draft papers jointly prepared by experts from the Commission and Member States missions based in Addis Ababa.

10. Another critical step will be to unpack and categorize the Decisions.

11. Each one of the AU Policy Organs Decisions submitted by the OSC will need to be unpacked and mapped to:

- Implementation Entities involved

- Leading Entity
- Level at which implementation took / is taking place (AUC, other AU Organs and Technical Agencies, RECs, Member States)
- Strategic Thematic Area (e.g. Agenda 2063 FTYIP, AUC MTPs, other AU Organs and Technical Agencies Medium Term Plans, RECS Regional Development Plans, Member States National Development Plans.
- Program(s) implemented/being implemented as part of decision implementation. Some level of alignment with Strategic Thematic Areas needs to be established
- Strategic Objectives of the programs
- Indicators (performance measures)
- Baselines
- Targets
- Actual Performance
- Strategic initiatives implemented / being implemented

12. This process will enable us drill down the decision, through: implementing entities, leading entity, level at which implementation took/is taking place, Strategic Thematic Area, Program (s), Strategic Objective (s), indicators (performance measures), Base lines, targets and actual levels of achievement.

13. The unpacking of decisions will be done in close consultation with implementing entities

14. Various implementation related factors will be taken into account in the categorization of these decisions. These factors will include, but not limited to: completed, ongoing, withdrawn, overtaken by events and most importantly the decisions will be categorised according to Strategic Thematic Areas which are aligned to Agenda 2063 goals and targets.

#### **4. NEXT STEPS**

- i. Seek approval for the proposal at the joint PRC/Commission retreat scheduled to take place soon after the February 2022 Summit
- ii. Immediately commence the process of implementing the proposal using available resources and complementing these resources by leveraging on existing AU and other Continental institutional human, technical and financial resources
- iii. Prepare a budget proposal for this task starting from the 2023 budgeting exercise
- iv. Present a more substantive progress report at the 2022 Mid -Year Executive Council session

**ANNEXES****Table 1: Assembly Decisions (2011-2021)**

	DATE, PLACE AND PLACE DECISION WAS MADE	REFERENCE NUMBER	NUMBER OF DECISIONS	NUMBER OF DECLARATIONS	NUMBER OF RESOLUTIONS	MOTIONS
1.	January 2011, Addis Ababa, Ethiopia	Assembly/AU/Dec.33 2-362 (XVI)	41	3	1	0
2	Jun/Jul 2011 Malabo, Equatorial Guinea	Assembly/AU/Dec.36 3-390	28	2	1	0
3	January 2012, Addis Ababa, Ethiopia	Assembly/AU/Dec.39 1-415 (XVIII)	25	3	1	0
4	July 2012, Addis Ababa, Ethiopia	Assembly/AU/Dec.41 6-449 (XIX)	54	4	2	0
5	January 2013, Addis Ababa Ethiopia	Assembly/AU/Dec.45 0-473 (XX)	24	3	0	0
6	May 2013, Addis Ababa, Ethiopia	Assembly/AU/Dec.47 4-489	16	3	1	0
7	July 2017, Addis Ababa, Ethiopia	Assembly/AU/Dec.64 2-664 (XXIX) Rev1	23	4	1	0
8	January 2018, Addis Ababa, Ethiopia	Assembly/AU/Dec.66 5-689 (XXX)	25	4	1	0
9	July 2018, Nouakchott, Mauritania	Assembly/AU/Dec.69 0-712 (XXXI)	23	3	1	0
10	February 2019, Addis Ababa, Ethiopia	Assembly/AU/Dec.71 3-748)XXXII)	36	8	1	1
11	February 2020, Addis Ababa, Ethiopia	Assembly/AU/Dec.74 9-795 (XXXIII)	47	6	1	1
12	February 2021, Addis Ababa, Ethiopia	Assembly/AU/Dec.79 6-812 (XXXIV)	17	1	1	1
13	July 2019, Niamey, Niger	Ext/Assembly/AU/Dec.1-3 (XII)	1	1		
<b>TOTAL</b>			<b>335</b>	<b>45</b>	<b>12</b>	<b>3</b>

**\*Decisions made in 2014, 2015, 2016 January 2017 are not included**

**Table 2: Executive Council Decisions ( 2011-2021)**

	DATE, PLACE AND PLACE DECISION WAS MADE	REFERENCE NUMBER	NUMBER OF DECISIONS
1.	* January 2011, Addis Ababa, Ethiopia	EX.CL/623 (XVIII) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
2	January 2012, Addis Ababa, Ethiopia	EX.CL/689(xx)Rev1 Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
3	July 2012, Addis Ababa, Ethiopia	EX.CL/722/ (XXI) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
4	January 2013, Addis Ababa, Ethiopia	EX.CL/756(XXII) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
5	June 2014, Malabo, Equatorial	EX.CL/831/(XXV)	1

	Guinea	Report on Implementation of Previous Decisions of the Executive Council and Assembly	
6	Jan 2015, Addis Ababa, Ethiopia	EX.CL/870(XXVI) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
7	June 2015, Johannesburg, South Africa	EX.CL/901(XXVII) Rev 1 Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
8	January 2016, Addis Ababa, Ethiopia	EX.CL/929 (XXVIII) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
9	June/July 2017, Addis Ababa, Ethiopia	EX.CL/1017(XXXI) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
10	February 2018, Addis Ababa, Ethiopia	EX.CL/1049(XXXII) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
11	February 2019, Addis Ababa, Ethiopia	EX.CL/1113(XXXIV) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
12	February 2020, Addis Ababa, Ethiopia	EX.CL/1191(XXXVI) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
13	Sept/Oct 2021, Addis Ababa, Ethiopia	EX.CL/1284(XXXIX) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
14	Jun/Jul 2014	EX.CL/819(XXV) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
15	Not indicated	EX.CL/856(XXVI) Report on Implementation of Previous Decisions of the Executive Council and Assembly	1
16	Not Indicated	EX.CL/878(XXVII) Report on Implementation of Previous Decisions of the Executive Council and Assembly	
17	January 2016, Addis Ababa, Ethiopia	EX.CL/Dec.898-918 (XXVIII) Rev.1	21
18	July 2016, Kigali, Rwanda	Ex.CL/Dec.919-925 and 928-938 (XXIX)	18
19	January 2017, Addis Ababa, Ethiopia	EX.CL/Dec.939-964 (XXX)	26
20	January 2018, Addis Ababa, Ethiopia	EX.CL/Dec.986-1007(XXXII)	22
21	June 2018, Nouakchott, Mauritania	EX.CL/Dec.1008-1030 (XXXIII)	33
22	February 2019, Addis Ababa, Ethiopia	EX.CL/Dec.1031-1056 (XXXIV)	26
23	July 2019, Niamey, Niger	EX.CL/Dec.1057-1072 (XXXV)	16
24	February 2020, Addis Ababa, Ethiopia	EX.CL/Dec.1073-1096 (XXXVI)	24
25	October 2020, Addis Ababa, Ethiopia (Video Conference)	EX.CL/Dec.1097-1106 (XXXVII)	10
26	February 2021, Addis Ababa, Ethiopia (Video Conference)	EX.CL/Dec.1107-1125 (XXXVIII)	19
27	June/July 2017, Addis Ababa, Ethiopia	EX.CL/Dec.965-985 (XXXI)	20
<b>TOTAL</b>			<b>250</b>

**\*\* Breaks in Decision number sequence and breaks in years in which decisions made, suggests some mission decisions to be provided by the Office of Secretary to the Commission.**

AFRICAN UNION UNION AFRICAINE

African Union Common Repository

<http://archives.au.int>

---

Organs

Council of Ministers & Executive Council Collection

---

2022-01-20

# Progress Report on the Status of Implementation of Previous Decisions of the Executive Council and Assembly (2011-202

African Union

DCMP

---

<https://archives.au.int/handle/123456789/10401>

*Downloaded from African Union Common Repository*